

Amended Adversary Complaint as “Lehman Principal Investors Fund, Inc. – High Yield Fund,”
by and through its attorneys, certifies the following:

High Yield Fund I is a series of Principal Funds, Inc., a registered investment company organized under the laws of Maryland. Principal Trust Company and Principal Life Insurance Company each directly owns 10% or more of the equity interests in High Yield Fund I.

Dated: New York, New York
July 27, 2016

Respectfully submitted,

By: /s/ Joanna C. Hendon
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